Compilation of Codes, Rules and Regulations of the State of New York Currentness

Title 7. Department of Corrections and Community Supervision

Chapter V. Procedures for Implementing Standards of Inmate Behavior and for Granting Good Behavior Time Allowances

Subchapter C. Standards of Inmate Behavior in All Facilities

Part 270. Standards of Inmate Behavior--Behavior Prohibited in All Facilities and the Classification of Each Infraction (Refs & Annos)

7 NYCRR 270.2

Section 270.2. Standards of inmate behavior

Implemented on September 1, 1988, the following is a list of prohibited behavior in all correctional facilities. Violation of any of the rules will result in appropriate disciplinary action.

A. PENAL LAW OFFENSES

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Rule Any Penal Law offense may be referred to law enforcement II,

1.00 agencies for prosecution through the courts. In addition, III

departmental sanctions may be imposed based upon a criminal

conviction.

Note: This rule does not preclude an inmate from being disciplined at any time for any violation of the following rules of conduct based upon the same incident.

B. INSTITUTIONAL RULES OF CONDUCT

1. Rule Series 100 Assault and Fighting.	Tier
i. 100.10 An inmate shall not assault or inflict or attempt to	II,
inflict bodily harm upon any other inmate.	
ii. 100.11 An inmate shall not assault or inflict or attempt to	II,
inflict bodily upon any staff member. III	
iii. 100.12 An inmate shall not assault or inflict or attempt to	II,
inflict bodily harm upon any person not included in III	
rules 100.10 and 100.11.	
iv. 100.13 An inmate shall not engage in fighting.	II,
III	
v. 100.14 An inmate shall not practice or instruct others in	I, II,
martial arts (aikido, judo, karate, juijitsu, kung fu, III	
t'ai chi ch'uan, etc.)	
vi. 100.15 An inmate shall not engage in unauthorized sparring,	I, II
wrestling, body- punching, or other forms of disorderly	
conduct.	
2. Rule Series 101 Sex Offenses.	Tier
i. 101.10 An inmate shall not engage in or encourage, solicit or	I, II,
attempt to force another to engage in sexual acts.	
ii. 101.11 An inmate shall not intentionally and forcibly touch the	I, II,

sexual or other intimate parts of an employee for the purpose of degrading or abusing such employee or for the purpose of gratifying the inmate's sexual desire. Forcible touching includes squeezing, grabbing, pinching and kissing.	
iii. 101.20 An inmate shall not engage in lewd conduct by	I, II,
intentionally masturbating in the presence of an III	
employee, or intentionally exposing the private parts	
of his or her body unless as part of a strip frisk,	
strip search, medical examination or other authorized	
purpose.	
iv. 101.21 An inmate shall not engage in physical contact with	I, II
another inmate. Prohibited conduct includes, but is not	1, 11
limited to, kissing, embracing or hand-holding.	
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v. 101.22 An inmate shall not stalk an employee, visitor or other	I, II,
person. Stalking includes, but is not limited to, III	
conduct directed at a specific employee, visitor or	
other person where the inmate knows, or reasonably	
should know, that such conduct is likely to cause	
reasonable fear of material harm to the physical	
health, safety or property of such person.	
3. Rule Series 102 Threats.	Tier
i. 102.10 An inmate shall not, under any circumstances make any	I, II,
threat, spoken, in writing, or by gesture.	
4. Rule Series 103 Bribery and Extortion.	Tier
i. 103.10 An inmate shall not bribe or extort or attempt to bribe or extort any person.	II,
ii. 103.20 An inmate shall not request or solicit goods or services	I, II,
from any business or any person other than an immediate III	
family member without the consent and approval of the	
facility superintendent or designee.	
5. Rule Series 104 Riot, Disturbances and Demonstrations.	Tier
i. 104.10 An inmate shall not conspire or take any action which	II,
is intended to or results in the takeover of any area III	
of the facility.	
ii. 104.11 An inmate shall not engage in any violent conduct or	II,
conduct involving the threat of violence either III	,
individually or in a group.	
iii. 104.12 An inmate shall not lead, organize, participate, or urge	II,
other inmates to participate, in a work-stoppage, III	,
sit-in, lock-in, or other actions which may be	
detrimental to the order of facility.	
iv. 104.13 An inmate shall not engage in conduct which disturbs the	т тт
order of any part of the facility. This includes, but III	1, 11,
is not limited to, loud talking in a mess hall, program	
area or corridor, talking after the designated facility	
quiet time, playing a radio, television or tape player	
without a headphone or through a headphone in a loud or	
improper manner, or playing a musical instrument in a	
loud or improper manner.	
6. Rule Series 105 Unauthorized Assembly or Activity.	Tier
i. 105.10 An inmate shall not form a group of inmates or join an	I, II,
assembly of inmates without authorization. The size of III	
the group is determined by local policy.	
ii. 105.11 An inmate shall not conduct a religious service or make	I, II,

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a speech or address without authorization by the III superintendent or designee. iii. Repealed and Reserved. 105.13 An inmate shall not engage in or encourage others to I, II, engage in gang activities or meetings, or display, wear, possess, distribute or use gang insignia or materials including, but not limited to, printed or handwritten gang or gang related material. Note: For purposes of this rule, a gang is a group of individuals, having a common identifying name, sign, symbol or colors, who have individually or collectively engaged in a pattern of lawlessness (e.g., violence, property destruction, threats of harm, intimidation, extortion, or drug smuggling) in one or more correctional facilities or that are generally recognized as having engaged in a pattern of lawlessness in the community as a whole. For purposes of this rule, printed or handwritten gang or gang related material is written material that, if observed in the inmate's possession, could result in an inference being drawn about the inmate's gang affiliation, but excludes published material that that the inmate has obtained through the facility library or that has been approved for the inmate to possess through the media review process. 105.14 An inmate shall not engage in or encourage others to engage in unauthorized organizational activities or meetings, or possess printed or handwritten material relating to an unauthorized organization where such material advocates either expressly or by clear implication, violence based upon race, religion, sex, sexual orientation, creed, law enforcement status or violence or acts of disobedience against department employees or that could facilitate organizational activity within the institution by an unauthorized organization. Note: For purposes of this rule an unauthorized organization is any organization which has not been approved by the deputy commissioner for program services. Printed or handwritten material that could facilitate organizational activity includes, but is not limited to, a membership roster, organizational chart, constitution or bylaws. This rule excludes possession of published material that the inmate has obtained through the facility library or that has been approved for the inmate to possess through the media review process. During the pendency of an application to obtain authorization for a proposed inmate organization, the rule also excludes specific printed or handwritten material that the Deputy Superintendent for Programs or higher ranking employee has requested in writing that the inmate submit as part of the application process. 7. Rule Series 106 Refusal to Obey a Direct Order. Tier 106.10 AN INMATE SHALL OBEY ALL ORDERS OF DEPARTMENT PERSONNEL I, II, PROMPTLY AND WITHOUT ARGUMENT. ii. 106.11 An inmate shall promptly obey an order by department II, III personnel to provide a DNA sample 8. Rule Series 107 Interference with an Employee or Other Person. Tier 107.10 An inmate shall not physically or verbally obstruct or I, II, interfere with an employee at any time.

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ii. 107.11 An inmate shall not harass an employee or any other person verbally or in writing. Prohibited conduct III includes, but is not limited to, using insolent, abusive, or obscene language or gestures, or writing or otherwise communicating messages of a personal nature to an employee or any other person including a person subject of an order of protection with the inmate or who is on the inmate's negative correspondence list.	I, II,
iii. 107.20 An inmate shall not lie or provide an incomplete,	I, II,
misleading and/or false statement or information. III iv. 107.21 An inmate shall not file or record any document or instrument of any description which purports to create a lien or record a security interest of any kind against the person or property of any officer or employee	II, III
of the Department, the State of New York or	
the United States absent prior written	
authorization from the superintendent or a court order authorizing such filing.	
9. Rule Series 108 Escape and Abscondence.	Tier
i. 108.10 An inmate shall not escape, attempt to escape, conspire	III
to, or be an accessory to an escape from any	
correctional facility or correctional custody.	
ii. 108.11 An inmate shall not exceed the authorized limits of	II,
travel on any work release or furlough-type program.	
iii. 108.12 An inmate shall not exceed any time limit imposed on any work release or furlough-type program.	II,
iv. 108.13 An inmate shall not be in possession of any article or	II,
paraphernalia which gives reasonable grounds to believe III	±±,
escape is planned.	
v. 108.14 An inmate shall comply with temporary release programming	g I, II,
rules and regulations. III	
vi. 108.15 An inmate shall not abscond, attempt to abscond, conspire to abscond, or be an accessory to an abscondence from III temporary release from a correctional facility or correctional custody. An inmate is guilty of absconding when, as a temporary release participant, he or she intentionally fails to return at or before the time	e 11,
prescribed for return.	
10. Rule Series 109 Inmate Movement.	Tier
i. 109.10 An inmate shall not be out of place in any area of the facility.	I, II,
ii. 109.11 An inmate shall not leave an assigned area without authorization.	I, II,
iii. 109.12 An inmate shall follow all facility regulations and staff directions relating to movement within the facility. III This includes, but is not limited to, seating, lock-in, lock-out, call slip procedures, and all activities of a similar nature.	Ē I, II,
iv. 109.13 An inmate who is on an outside work assignment, such as	I, II,
a community service project or outside ground detail, III	
shall not leave his or her assigned area or communicate	
with members of the public without authorization.	
v. 109.14 An inmate shall wear religious robes and garments at scheduled and approved religious ceremonies or	I, II

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services only. vi. 109.15 An inmate shall accept double-cell assignments when	II,
such an assignment is directed by facility staff. III	,
11. Rule Series 110 Inmate Identification and Grooming.	Tier
i. 110.10 Unless otherwise directed, an inmate shall at all times	I, II
carry his or her departmental ID card and promptly	
produce the ID at the direction of any departmental	
employee.	
ii. 110.20 An inmate shall not alter, deface, or in any other way	I, II
tamper with the issued ID card. Whenever replacement is	
required, as a result of this action, the replacement	
cost will be borne by the inmate. Refusal to voluntarily	
pay for replacement cost may result in restitution being	
imposed through the disciplinary process.	
iii. 110.21 An inmate shall not be in possession of any type of an	I, II
identification card or identification paper other than	
those authorized.	
iv. 110.30 An inmate shall report the loss of his or her ID card	I, II
promptly to an employee. Reimbursement costs may be	
imposed.	
v. 110.31 An inmate shall pay the cost of a replacement ID card whenever the inmate's appearance is changed as a result	I, II
of a beard, mustache, or change in hair length or color.	
Refusal to voluntarily pay for replacement cost may	
result in restitution being imposed through the	
disciplinary process.	
vi. 110.32 An inmate shall not grow a bear or mustache over one	I, II
inch in length unless: a. the inmate has a court order	
restraining the Department from enforcement; or b. the	
inmate has requested and received an exemption based	
upon his or her membership in a religion which has an	
established tenet against the trimming of beards,	
including, but not limited to, Rastafarian, Orthodox	
Judaism or Islam (Muslim).	
vii. 110.33 An inmate wearing hair below shoulder length shall keep	I, II
his or her hair tied back in a ponytail with a barrette,	
rubber band, or other fastening device approved by the	
superintendent. Note: This rule does not apply to a	
Native American involved in a scheduled and approved	
Native American cultural ceremony.	
12. Rule Series 111 Impersonation.	Tier
i. 111.10 An inmate shall not impersonate any employee or any other	II,
person in any manner. III ii. 111.11 An inmate shall not be in possession of any security key,	т т
ii. 111.11 An inmate shall not be in possession of any security key, badge, employee identification or employee clothing.	II,
13. Rule Series 112 Count Procedures.	Tier
i. 112.10 An inmate shall not cause a miscount.	I, II,
III	1, 11,
ii. 112.20 An inmate shall not delay the count.	I, II
iii. 112.21 An inmate shall comply with all facility count	I, II
procedures.	•
iv. 112.22 An inmate shall not obstruct visibility into his or her	I, II
cell, room or cube.	
14. Rule Series 113 Contraband.	Tier
i. 113.10 An inmate shall not make, possess, sell or exchange	II,

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any item that may be classified as a weapon or
                                                       III
dangerous instrument by description, use or
appearance. A dangerous instrument is any instrument,
article or substance which, under the circumstances
in which it is used, attempted to be used or
threatened to be used, is readily capable of
causing bodily harm.
      113.11 An inmate shall not possess any authorized item that
has been altered in any manner so as to change its
original intent and/or purpose.
       113.13 An inmate shall not make, use, possess, sell, exchange, II,
provide or be under the influence of any alcoholic
beverage or intoxicant. Possession, sale or exchange
of yeast or any other fermenting agent is prohibited.
       113.14 An inmate shall not possess outdated or unauthorized
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types or quantities of medication, nor shall an
inmate sell, exchange or provide any medication to
anyone.
       113.15 An inmate shall not purchase, sell, loan, give or
                                                                       I. II.
v.
exchange a personally owned article without
authorization.
vi.
       113.16 An inmate shall not be in possession of stamps in
                                                                       I, II,
excess of $22.50 in value, money, credit card, credit
card numbers, check or unauthorized valuable or
property.
vii.
       113.17 An inmate shall not be in possession of jewelry other
than that which is authorized by the facility.
viii. 113.18 An inmate shall not be in possession of a tool without I, II,
authorization.
                             III
       113.19 An inmate shall not possess tobacco products in excess I, II
of an amount authorized by the facility. An inmate
may not have more than two packages of cigarettes on
his or her person other than for transporting tobacco
products from the commissary or package room to the
housing unit for storage.
       113.20 An inmate shall not possess State clothing or bedding
in excess of authorized issue. The altering of
State-issued clothing or bedding is prohibited. An
inmate shall be required to pay for State clothing or
bedding that has been altered without authorization.
       113.21 An inmate shall not possess literature or any other
material which has been disapproved by the Media
Review Committee.
       113.22 An inmate shall not use or possess an article in an
                                                                        I, II,
area where its use or possession if prohibited.
      113.23 In addition to those items of contraband specifically
                                                                       I, II,
identified by this rule series, an inmate shall not
possess any item unless it has been specifically
authorized by the superintendent or designee, the
rules of the department or the local rules of the
facility.
       113.24 An inmate shall not use or be under the influence of
any narcotics or controlled substances unless
prescribed by a health service provider and then only
in the amount prescribed.
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113.25 An inmate shall not make, possess, sell or exchange
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any narcotic, narcotic paraphernalia, controlled
substance or marijuana. An inmate shall not conspire
with any person to introduce such items into the
facility.
xvi.
        113.26 An inmate shall not, without written authorization of
                                                                       II.
the superintendent, solicit, possess or exchange
personal identifying information (e.g. social security
number, home address, private e-mail address or home
telephone number) belonging to a person who is a
present or former employee of the department or
presently or formerly employed in a department
facility, or to any member of the person's household,
unless the inmate is an immediate family member of
such person.
      113.27 An inmate shall not solicit, possess or exchange any
disciplinary or grievance document pertaining to
another inmate, or any document which contains crime
and sentence information pertaining to another inmate
who is not a codefendant, without authorization from
the superintendent.
xviii. 113.28 An inmate shall not possess any description or
                                                                        II,
depiction of any correctional facility; any facility
post a description, staffing chart or related
document; any Directive with a distribution code of
"D" 560r any corresponding topical manual or facility
policy and procedure.
       113.29 An inmate shall not possess poppy seeds or any product I, II,
xix.
containing poppy seeds.
                                                       III
       113.30 An inmate shall not possess any Uniform
                                                                       II, III
Commercial Code (UCC) Article 9 form,
including but not limited to any financing
statement (UCC1, UCC1Ad, UCC1AP, UCC3, UCC3Ad,
UCC3AP, UCC1CAd), correction statement (UCC5)
or information request (UCC11), whether
printed, copied, typed or hand written, or any
document concerning a scheme involving an
inmate's "strawman," 56"House Joint Resolution
192 of 1933," 56the "Redemptive Process,"
"Acceptance for Value" 56presentments or
document indicating copyright or attempted
copyright of an inmate's name absent prior
written authorization from the superintendent.
15. Rule Series 114 Smuggling.
                                                                        Tier
i. 114.10 An inmate shall not smuggle or attempt to smuggle or
solicit others to smuggle any item in or out of the
facility or from one area to another.
16. Rule Series 115 Searches and Frisks.
                                                                       Tier
i. 115.10 An inmate shall comply with all frisk and search
                                                                    I, II, III
procedures.
17. Rule Series 116 Destruction of, Tampering with, and Theft of
                                                                        Tier
Property.
     116.10 An inmate shall not lose, destroy, steal, misuse, damage I, II,
or waste any type of State property.
ii. 116.11 An inmate shall not alter, tamper with or attempt to
                                                                        I, II,
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repair any type of State or personal property without III authorization.	
iii. 116.12 An inmate shall not alter, forge or counterfeit any document. An inmate shall not distribute or be in III possession of any departmental document without authorization.	II,
iv. 116.13 An inmate shall not steal, destroy or intentionally damage any property belonging to others or possess III any stolen property.	I, II,
18. Rule Series 117 Explosion or Explosive Devices. i. 117.10 An inmate shall not cause or attempt to cause an explosion. The possession of an explosive device, material that can III be used to make an explosive device, or material which depicts or describes the construction or use of an explosive device, is prohibited.	Tier II,
19. Rule Series 118 Creating a Fire, Health or Safety Hazard.	Tier
i. 118.10 An inmate shall not start or attempt to start a fire unless directed to do so by facility staff.	II,
ii. 118.20 An inmate shall not tattoo or otherwise permanently mark his or her or another's body, or allow his or her body III to be tattooed or permanently marked by another. An inmate shall not be in possession of an instrument or device used for the purpose of making tattoos.	I, II,
iii. 118.21 An inmate shall not create a fire, health or safety hazard in any area of the facility by improperly III storing or using flammable materials or other property, in his or her living quarters or any other area of the facility.	I, II,
<pre>iv. 118.22 An inmate shall not commit an unhygienic act such as spitting, urinating or defecating on the floor or any III other area; propelling urine, feces, bodily fluids, water, or food; or storing urine, feces or bodily fluids.</pre>	I, II,
v. 118.23 An inmate shall promptly report illness or injury to a facility employee.	I, II
vi. 118.24 An inmate shall follow posted safety regulations.	I, II
vii. 118.25 An inmate shall not litter in any area of the facility.	I, II
viii. 118.30 An inmate shall maintain the cleanliness and orderliness of his her living quarters, clothing and person.	I, II
ix. 118.31 An inmate shall not alter, rewire, tamper or attempt to repair electrical outlets or any electrical device. III	I, II,
x. 118.32 An inmate shall participate in a fire drill or fire alarm in a prompt and orderly fashion.	I, II
xi. 118.33 An inmate shall not intentionally cause flooding in his or her housing area or other part of the facility. III	I, II,
20. Rule Series 119 False Alarms.	Tier
 i. 119.10 An inmate shall not make a false report of a fire, emergency, disturbance or other threat to the safety of III a facility. 	II,
ii. 119.11 An inmate shall not tamper with any fire or extinguishing device unless authorized by a facility staff member.	I, II,
	Tier
i. 120.20 An inmate shall not engage in any form of gambling,	I, II,
betting or wagering, or be in possession of gambling III paraphernalia.	,

ii. 120.21 An inmate shall not possess lottery tickets or	I, II
participate in a lottery.	mi
22. Rule Series 121 Abuse of Telephone Privileges.	Tier
i. 121.10 An inmate shall not communicate by telephone with any department employee without the authorization of the	I, II
superintendent or designee.	
ii. 121.11 An inmate shall not engage in a telephone call to a	т тт
telephone number which has been connected through III	I, II,
call-forwarding or a call-forwarding service. Telephone	
calls and telephone conversations shall be restricted	
to the telephone number dialed or otherwise placed by	
or for the inmate. Telephone call-forwarding, the use	
of a call forwarding service or other third-party phone	
call function, and the use of a credit card to place a	
call are prohibited.	
iii. 121.12 An inmate shall comply with and follow the guidelines an	d I, II,
instructions given by the staff regarding facility III	,
telephone programs pursuant to the requirements of	
departmental Directive No. 4423 (7 NYCRR Part 723).	
iv. 121.13 An inmate shall not use facility telephones without the	I, II,
authorization of facility staff. An inmate in an III	
outside work gang or other type of supervised community	
project shall not use and outside telephone without the	
authorization of facility staff.	
v. 121.14 An inmate shall not exchange a personal identification	I, II,
number (PIN), or use the PIN of another inmate.	
23. Rule Series 122 Smoking.	Tier
i. 122.10 An inmate may only smoke outdoors in designated areas. I,	II, III
24. Rule Series 123 Self-Mutilation.	Tier
i. 123.10 An inmate shall not inflict or attempt to inflict bodily	II, III
harm upon his or her person.	
25. Rule Series 124 Mess Hall or Dining Areas.	Tier
i. 124.10 An inmate shall not bring a container into the mess hall	I, II
without the authorization of facility staff.	
ii. 124.11 An inmate shall not bring food items into the mess hall.	
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Parts 720 and 721).
      180.12 An inmate shall comply with and follow the guidelines
and instructions given by staff regarding facility
package procedures pursuant to the requirements of
departmental Directive No. 4911 (7 NYCRR Part 724).
       180.13 An inmate shall comply with and follow the guidelines
                                                                        I, II,
iv.
and instructions given by staff regarding Family
Reunion Program pursuant to the requirements of
departmental Directive No. 4500 (7 NYCRR Part 220).
      180.14 An inmate shall comply with and follow the guidelines
                                                                        I. II.
and instructions given by staff regarding urinalysis
testing pursuant to the requirements of departmental
Directive No. 4937 (7 NYCRR Part 1020). This includes
providing a urine sample when ordered to do so.
vi.
      180.16 An inmate may only wear sunglasses when out-of-doors.
                                                                        I, II
Sunglasses shall be removed when entering buildings.
Sunglasses with reflector or mirrored lenses are
prohibited. Medically prescribed photo-ray sunglasses
may be worn indoors.
     180.17 An inmate may not provide legal assistance to another
                                                                        I, II,
inmate without prior approval of the superintendent
or designee. An inmate shall not receive any form of
compensation for providing legal assistance.
viii. 180.18 An inmate shall accept a program assignment in
                                                                        II.
accordance with established facility program committee III
procedures.
       180.19 An inmate shall comply with and follow the guidelines
                                                                        I, II,
and instructions given by staff regarding alcohol
screening tests. This includes providing a urine
sample or taking a field test when ordered to do so.
27. Rule Series 181 Disciplinary Hearings.
                                                                       Tier
i. 181.10 An inmate shall comply with the dispositions imposed by a I, II,
hearing officer in a Tier I, Tier II and Tier III
                                                          III
hearings.
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Credits

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